Embedding it better...

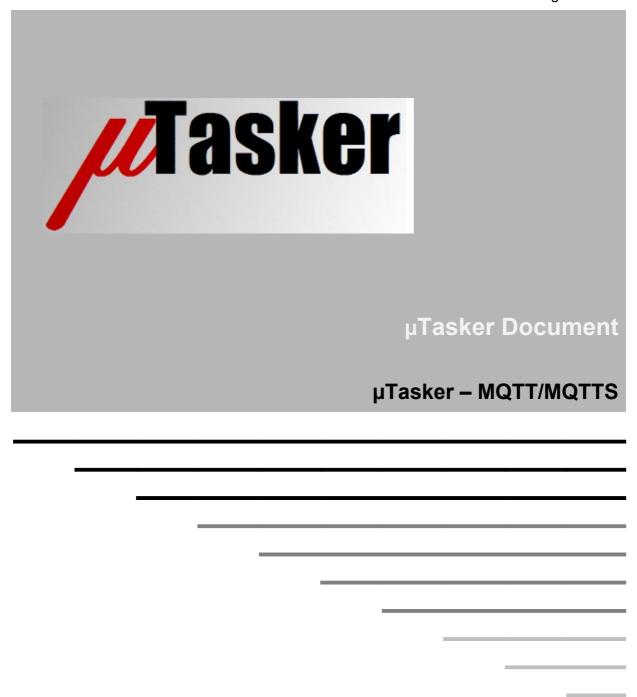


Table of Contents

1.	Introduction	3
	Enabling MQTT	
	MQTT Operation and Interface	
	3.1 Connecting to/Disconnecting from the Broker	
	3.2 Subscribing to/Unsubscribing from a Topic	.10
	3.2 Messages and Receiving Published Messages	
4.	Quality of Service (QoS)	.14
	4.1 QoS 0 (Fire and Forget)	.14
	4.2 QoS 1 (Acknowledged Delivery)	
	4.3 QoS 2 (Assured Delivery)	.16
	Conclusion	

1. Introduction

This document discusses the MQTT (Message Queuing Telemetry Transport) implementation in the µTasker project.

MQTT is an ISO standard (ISO/IEC PRF 20922) publish-subscribe based protocol that operates over TCP/IP whereby multiple clients can connect to a server (known as the broker) on the TCP port 1883 [or securely on port 8883] and subscribe to topics, publish their own messages and receive topic messages published from other clients.

MQTT is said to have been designed for a small code footprint and the μ Tasker implementation requires around 4k code and 1k of RAM for the protocol layer and a simple client interface.

The µTasker project also includes secure MQTT (over TLS), which is referenced to as MQTTS. Since the underlying MQTT operations are essentially identical over TCP/IP or secure socket layer MQTTS details are only mentioned when there is something of relevance to it – otherwise all MQTT discussions can be considered to be valid for both connection types.

The document is very practical of nature in that it illustrates how to use the features on MQTT through concrete operations and observations and then introduces and discusses interesting or useful points as they come up in each case. For a more formal review of the MQTT protocol its specification and various standard texts can be used.

2. Enabling MQTT

MQTT can be enabled with the define

```
USE MOTT CLIENT
```

in the project configuration file config.h as long as TCP/IP is also enabled in the project (operating over Ethernet, USB RNDIS or other TCP/IP enabled connections).

When enabled it adds a command line interface that can be used to immediately establish connections to a broker and verify various actions, such as subscribing to topics, publishing messages and viewing topic messages from other devices.

Secure MQTTS support is optionally enabled with the additional define

```
SECURE MOTT
```

and client side authentication support optionally added using the define

```
SUPPORT CLIENT SIDE CERTIFICATE
```

The following shows the MQTT command line menu (available on the UART debug interface, USB-CDC or Telnet):

whereby mgtts con is only available when configured for secure operation.

Using these commands all MQTT operations can be tested and the command line interface code also serves as reference for program control of application layer use of the protocol and its capabilities.

The following optional configuration parameters can be used to override basic settings if needed, whereby their specific use is described later in the document:

```
#define MQTT_KEEPALIVE_TIME_SECONDS 300 // the keep alive time announced to the
broker when the connection is established
#define MQTT_MAX_SUBSCRIPTIONS 8 // MQTT module manages up to this many subscriptions
#define MQTT_MAX_TOPIC_LENGTH 16 // MQTT module stores individual topic strings up to
this length
```

3. MQTT Operation and Interface

This chapter discusses the command line interface operation in order to test various aspects of MQTT operation. In each case the application interface is also explained so that the same operations can be easily achieved from general application code.

The Mosquitto test broker at test.mosquitto.org was used for these tests, whereby its fixed IPv4 IP address is used for connection. DNS can be used to first used to discover the IP address of any other brokers to be tested with.

3.1 Connecting to/Disconnecting from the Broker

```
#mqtt_con 37.187.106.16
MQTT client Connecting...
#MQTT connected

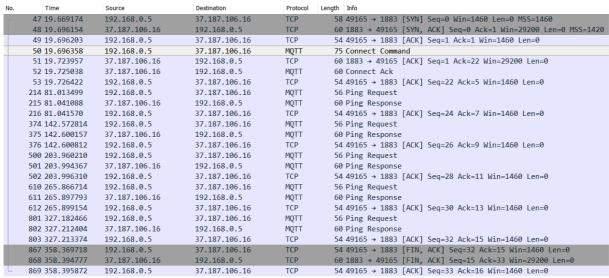
mqtt_dis
Disconnecting...
#MQTT closed
```

The connection and disconnection parts are quite standard TCP connections and closes (in case of a secure connection the connection will involve the TLS handshake but, apart from additional delays, the user interface will see the same general behaviour).

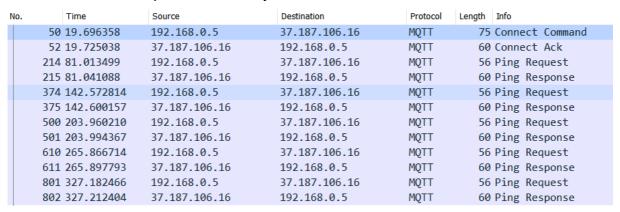
Once the TCP connection itself has been established the MQTT connection itself is established by the client sending the MQTT Connect command, which the broker will respond to with a Connect Ack (which causes the "MQTT connected" message).

The MQTT connection is disconnected by using a simple TCP close sequence (which causes the "MQTT close" message).

The MQTT connection between the initial connection and its termination is a persistent TCP connection and so could remain in the established state forever. If we however look at the Wireshark recording of a reference connection that remains active for 5 minutes it is seen that there are regular MQTT Ping messages being sent by the client, which are used to confirm to the broker that the connection is still active, whereby the broker could close the connection is there is no activity for a certain period of time.



This first recording shows both TCP and MQTT frames and the following only MQTT frames by filtering out all non-MQTT messages – in the following discussions the MQTT filtering is used unless there is a particular TCP layer interest.



To get an initial idea of the MQTT protocol itself it is very useful to use the MQTT interpretation feature of Wireshark, whereby the following shows the content of the MQTT Connect Command:

✓ MQ Telemetry Transport Protocol, Connect Command

> Header Flags: 0x10 (Connect Command)

Msg Len: 19

Protocol Name Length: 4
Protocol Name: MQTT
Version: MQTT v3.1.1 (4)
> Connect Flags: 0x02

Keep Alive: 300 Client ID Length: 7 Client ID: KINETIS

```
54 67 51 be 0a 57 00 00
                                                         TgQ..W.. ...U..E.
0000
                               00 00 00 55 08 00 45 10
                               ea 24 c0 a8 00 05 25 bb
0010
      00 3d 00 0e 00 00 80 06
                                                         .=...%.
                                                         j....[.. .).J..P.
0020
      6a 10 c0 0d 07 5b 10 aa
                               bd 29 db 4a e0 d2 50 18
                               00 04 4d 51 54 54 04 02
0030
      05 b4 10 67 00 00 10 13
                                                         ...g.....MQTT...
                                                          ,..KINE TIS
0040
      01 2c 00 07 4b 49 4e 45
                              54 49 53
```

and the next the content of the broker's MQTT Connect Ack:

```
✓ MQ Telemetry Transport Protocol, Connect Ack

   > Header Flags: 0x20 (Connect Ack)
     Msg Len: 2
   > Acknowledge Flags: 0x00
     Return Code: Connection Accepted (0)
0000
      00 00 00 00 00 55 54 67
                                51 be 0a 57 08 00 45 00
                                                           .....UTg Q..W..E.
                                52 18 25 bb 6a 10 c0 a8
                                                           .,.;@.6. R.%.j...
0010
      00 2c a2 3b 40 00 36 06
0020
      00 05 07 5b c0 0d db 4a e0 d2 10 aa bd 3e 50 18
                                                           ...[...J .....>P.
0030
      72 10 7b ce 00 00 20 02 00 00 20 20
                                                           r.{... . ..
```

And in similar fashions, the Ping Request and Ping Response:

```
∨ MQ Telemetry Transport Protocol, Ping Request
   > Header Flags: 0xc0 (Ping Request)
    Msg Len: 0
0000
      54 67 51 be 0a 57 00 00
                              00 00 00 55 08 00 45 10
                                                        TgQ..W.. ...U..E.
0010
      00 2a 00 10 00 00 80 06
                              ea 35 c0 a8 00 05 25 bb
                                                         .*....%.
      6a 10 c0 0d 07 5b 10 aa
0020
                              bd 3e db 4a e0 d6 50 18
                                                        j....[.. .>.J..P.
0030 05 b4 48 2a 00 00 c0 00
                                                         ..H*..
```

Ping Request

```
✓ MQ Telemetry Transport Protocol, Ping Response
   > Header Flags: 0xd0 (Ping Response)
     Msg Len: 0
0000
      00 00 00 00 00 55 54 67
                               51 be 0a 57 08 00 45 00
                                                          .....UTg Q..W..E.
0010
      00 2a a2 3c 40 00 36 06 52 19 25 bb 6a 10 c0 a8
                                                          .*.<@.6. R.%.j...
0020
      00 05 07 5b c0 0d db 4a
                               e0 d6 10 aa bd 40 50 18
                                                          ...[...J .....@P.
      72 10 cb cb 00 00 d0 00
                               20 20 20 20
0030
                                                          r.....
```

Ping Response

We notice that the client specifies a keep alive time of 300s*, and then sends pings (when no other activity) at a faster rate to ensure that the connection doesn't timeout when the device is still in good heath.

As well as allowing the broker to detect a device that has been powered down (not no longer contactable) this ping mechanism also enables the device to detect whether the broker is still available – if the broker doesn't respond to a ping (after the TCP layer has attempted delivery a number of times if needed) the broker can be declared as being no longer on line.

^{*}See optional parameter MQTT_KEEPALIVE_TIME_SECONDS

In the initial connection message there is a field called "Connect Flags" that needs to be mentioned since it defines some basic, but important, properties of the MQTT connection being established. This is how it looks in this example case:

```
Connect Flags: 0x02
0... ... = User Name Flag: Not set
.0. ... = Password Flag: Not set
..0 ... = Will Retain: Not set
... 0 0... = QoS Level: At most once delivery (Fire and Forget) (0)
... .0. = Will Flag: Not set
... .1. = Clean Session Flag: Set
... .0 = (Reserved): Not set
Keep Alive: 300
```

In this case the Clean Session Flag is set as standard since it ensures that the device's messages at the broker are deleted when the connection terminates.

The QoS (quality of service) level is set to 0, which means that the MQTT will not guarantee delivery but only ensure that a single attempt is made. This is the basic QoS used by the connection but doesn't restrict higher levels being used for individual messages during the connection session.

Finally the application interface can be shown that allows connection. The code source is available in the file <code>debug.c</code>, which controls this MQTT menu operation, whereby the code shown here is sometimes a simplified version of it to focus on the relevant points.

Here is is seen that the connection flags such as MQTT_CONNECT_FLAG_CLEAN_SESSION are defined by the application when it connections.

In case the connection is to be performed over a secure socket SECURE_MQTT_CONNECTION can be used instead of UNSECURE_MQTT_CONNECTION (which is the default if not explicitly specified).

We note that a call-back is specified which is required to respond to various event during general MQTT session operation. The complete callback is reproduced here as reference throughout this document:

```
static unsigned short fnMQTT_callback(signed char scEvent, unsigned char *ptrData, unsigned long
ulLength, unsigned char ucSubscriptionRef)
    CHAR *ptrBuf = (CHAR *)ptrData;
    int iAddRef = 0;
    switch (scEvent) {
    case MQTT_CLIENT_IDENTIFIER:
        ptrBuf = uStrcpy(ptrBuf, temp_pars->temp_parameters.cDeviceIDName); // supply a string to be
used as MQTT device identifier - this should be unique and normally contain only characters 0..9, a..z,
A..Z (normally up to 23 bytes)
        break;
    case MQTT_WILL_TOPIC:
                                      // optional connection string fields
    case MQTT_WILL_MESSAGE:
    case MQTT_USER_NAME:
    case MQTT USER PASSWORD:
        ptrBuf = uStrcpy(ptrBuf, "string");
        break;
    case MQTT_CONNACK_RECEIVED:
        fnDebugMsg("MQTT connected\r\n");
        break;
    case MQTT_SUBACK_RECEIVED:
        fnDebugMsg("MQTT subscribed");
        iAddRef = 1;
        break;
    case MQTT_UNSUBACK_RECEIVED:
        fnDebugMsg("MQTT subscribed");
        iAddRef = 1;
        break;
    case MQTT_PUBLISH_ACKNOWLEDGED:
        fnDebugMsg("MQTT published - QoS");
        fnDebugDec(ulLength, 0);
        iAddRef = 1;
        break;
    case MQTT_PUBLISH_TOPIC:
                                                    // add a default publish topic
        ptrBuf = uStrcpy(ptrBuf, "xyz/abc");
        break;
    case MQTT_PUBLISH_DATA:
        {
            static unsigned char ucDataCnt = 0;
            int i = 0;
            ptrBuf = uStrcpy(ptrBuf, "abcd");
                                                    // add string content
            while (i++ < usPubLength) {</pre>
                                                    // plus some binary content
                *ptrBuf++ = ucDataCnt++;
        }
        break;
    case MQTT_HOST_CLOSED:
    case MQTT_CONNECTION_CLOSED:
        fnDebugMsg("MQTT closed\r\n");
    break;
case MQTT_TOPIC_MESSAGE:
        fnDebugMsg("Message (");
        fnDebugDec(ulLength, 0);
        fnDebugMsg(")");
        iAddRef = 1;
        break;
    if (iAddRef != 0) {
        fnDebugMsg(" [");
        fnDebugDec(ucSubscriptionRef, 0);
        fnDebugMsg("]\r\n");
    return (unsigned short)((unsigned char *)ptrBuf - ptrData);
}
```

MQTT application callback reference

It may have been noticed that in the connect message the device sent a string to indicate its Client ID ("KINETIS"), which is the first reference to the use of the application call back to define specific details. When the call-back receives the event MQTT_CLIENT_IDENTIFIER it can enter its own ID as it desires, which is then appropriately inserted into this message.

Beware that each device should have a unique client ID. Trying to connection a second device with the same Client ID as another one will tend to cause the broker to close the connection to the client with the same ID that was connected to it first.

3.2 Subscribing to/Unsubscribing from a Topic

MQTT is subscription oriented and so it is usual for a client to subscribe to one or more topics. Once subscribed this client will then receive any message published for this topic, whether it be published by another client or itself.

The client is also free to later unsubscribe form topics that no linger interest it, after which no more topic messages will be sent to it by the broker.

The following shows the command line interface being used to subscribe to two topics ("uTasker/test1" and "uTasker/test2") and then unsubscribing from them.

Beware that topics are case sensitive!

We note that a QoS is assigned to each individual topic which means that the subscription process itself is performed with this level. QoS 2 and QoS 1 are used, which differ in the guarantee of delivery made. QoS 2 means that it is to be guaranteed to be delivery to the broken a single time whereas QoS 1 is guaranteed to be delivered "at least once" but not excluding that it may be delivered a multiple number of times.

See the chapter on QoS for some examples of MQTT message exchanges with the three levels (0, 1 and 2).

```
#MQTT subscribed [1]
mqtt_sub uTasker/test1
Subscribing (ref=1)...
#MQTT subscribed [1]
mqtt_sub uTasker/test2 1
Subscribing (ref=2)...
#MQTT subscribed [2]
mqtt_un 2
Unsubscribing...
#MQTT subscribed [2]
mqtt_un 1
Unsubscribing...
#MQTT subscribed [1]
```

The application code responsible for subscribing to a topic may look as follows:

```
int iSubscriptionReference;
unsigned char ucQoS = 2;
iSubscriptionReference = fnSubscribeMQTT("TEST1", ucQoS);
if (iSubscriptionReference >= 0) {
    fnDebugMsg("Subscribing (ref=");
    fnDebugDec(iSubscriptionReference, 0);
    fnDebugMsg(")...");
}
else {
    fnDebugMsg("MQTT error!");
}
```

where it is seen that it defines the (default) QoS per topic, can specify directly the topic name and received a topic reference (for later use with respect to all messages received belonging to this topic or for publishing ones own messages related to it).

It is worth noting that the application doesn't need to pass the topic with the subscription call but can instead insert it via the call-back each time the event MQTT_PUBLISH_TOPIC is received (during the subscription process). In the second case a null pointer is passed instead and the MQTT module doesn't keep a local copy of the topic string and calls back the application each time it needs to know it.

The MQTT management interface associates the defined QoS with the subscription for later (default) use and can manage up to MQTT_MAX_SUBSCRIPTIONS* active subscriptions. It can save topic strings of up to MQTT MAX TOPIC LENGTH* length each.

When unsubscribing, the subscription reference number is used, whereby the unsubscribe operation will be performed using the same QoS as used for its subscription.

```
if (fnUnsubscribeMQTT(2) >= 0) { // unsubscribe from topic ref. 2
    fnDebugMsg("Unsubscribing...");
}
else {
    fnDebugMsg("MQTT error!");
}
```

The command "mgtt top" allows listing topics presently subscribed to, for example:

```
mqtt_top
Reference:1 QoS:2 Topic:uTasker/test1
Reference:2 QoS:1 Topic:uTasker/test2
Reference:3 QoS:2 Topic:uTasker/test3
```

'See optional parameters MQTT MAX SUBSCRIPTIONS and MQTT MAX TOPIC LENGTH

3.2 Messages and Receiving Published Messages

The command line interface allows testing publishing predefined test message – either of short length or of a length almost filling up a standard TCP buffer (around 1k bytes). The message can be sent with a defined QoS and be sent to a specific topic. If sent to a topic that we are subscribed to we expect to receive the message back to ourselves from the broker too!

Examples of publishing messages are as follows:

```
mqtt pub "uTasker/test1" 1
```

which publishes a *test message* to the topic uTasker/test1 with QoS of 1. Note that the topic string needs to be enclosed in "..." in this command and a missing QoS value will cause QoS 2 to be used.

```
mqtt pub l "uTasker/test1" 0
```

would publish a *long* message to the same topic but using QoS 0 instead.

When the publish process completes the call back displays the fact along with details of the QoS and reference to a local subscription (0 mean that it is a one-off topic publication since we are not subscribed to the topic):

```
MQTT published - QoS2 [0]
```

The MQTT broker will pass on the topic message to any, and all, devices subscribed to it and a device receiving such a topic message will display the fact that it has as follows:

```
Message (14) [1]
```

The message length is 14 bytes in this case and the reception is on the subscription reference 1. This then allows the application callback to simply associate the message to a particular topic and then it can parse the content accordingly, whereby we note that the message content itself is not defined by the MQTT specification and can be either ASCII or binary. Depending on the overall application's communication between devices the content could be details about local measurements (values from sensors) or commands that one device sends to others.

A long test message would look like:

```
Message (1028) [3]
```

where it is seen that the message content is approximately 1k byte instead – in this case for topic reference 3.

If a device is subscribed to a topic that it sends a message to it can use its subscription reference instead as the topic string. For example

```
mqtt pub 1 2
```

will cause a message to be published to the topic of subscription reference 1 with QoS 2.

If the QoS is not specified the value used will default to that in the subscription characteristics (the QoS defined when subscribing). This behaviour is not specified by MQTT since every message has its own QoS level but this allows simply setting a QoS to be used as default for individual subscription topic messages.

See the chapter on QoS for some examples of MQTT message exchanges with the three levels (0, 1 and 2).

The application interface for publishing messages may look like this:

```
unsigned char ucSubscriptionRef = 2; // send to subscription 2 topic
if (fnPublishMQTT(ucSubscriptionRef, 0, -1) == 0) {
    fnDebugMsg("Publishing...");
}
else {
    fnDebugMsg("MQTT error!");
}
```

In this example the message is sent to the topic that we are subscribed to at subscription reference 2. Not topic needs to be passed in this case and the QoS used is the subscription's default.

The MQTT application callback is used with the event number MQTT_PUBLISH_DATA (see reference earlier on in the document) for the application to insert the appropriate content. It is to be noted that a maximum of 1400 bytes may be inserted!

In order to sent a message to a non-subscribed topic the call may instead look like:

```
if (fnPublishMQTT(0, "uTasker/test5", 2) == 0) { // publish with QoS 2
    fnDebugMsg("Publishing...");
}
else {
    fnDebugMsg("MQTT error!");
}
```

or

```
if (fnPublishMQTT(0, 0, 1) == 0) { // publish with QoS 1
    fnDebugMsg("Publishing...");
}
else {
    fnDebugMsg("MQTT error!");
}
```

whereby the publish topic can be inserted by the MQTT module calls back with the event ${\tt MQTT}$ PUBLISH TOPIC.

Beware that publishing a message with an empty topic (length of zero) will tend to result in the broker closing the connection to the devices. The command line interface will report an error if this is attempted.

4. Quality of Service (QoS)

The chapters discussing subscribing and publishing have shown how to control the QoS or each exchange via the application interface. This section takes a brief look at the MQTT operation at the three levels based on publishing a message, whereby the principle is similar for subscribing and unsubscribing too. By using Wireshark it is easy to monitor the operation and get familiar with the details involved.

4.1 QoS 0 (Fire and Forget)

The lowest level of QoS is 0, whereby messages are sent without requiring them to be acknowledged. However this doesn't mean that the messages will often be lost due to the the fact that they are being transported by TCP/IP where the delivery is more or less ensured as long as the connection doesn't totally fail during the process.

This recording shows the MQTT protocol frame with the publish message whereby the TCP protocol's ACK is displayed since there is no further activity at the MQTT level:

```
2010.261663 192.168.0.5 37.187.106.16 MQTT 85 Publish Message
2010.344861 37.187.106.16 192.168.0.5 TCP 60 1883 → 49163 [ACK] Seq=92 Ack=155 Win=29200 Len=0
```

In this mode one sees that the MQTT message was delivered to the destination but there is no confirmation that it was actually processed by the MQTT level.

This level of quality is known as "Fire and Forget".

The MQTT protocol level is shown as interpreted by Wireshark as follows:

Here we see that the QoS is signaled in the header flags which precede the topic and message themselves.

4.2 QoS 1 (Acknowledged Delivery)

The next level of QoS is 1, whereby messages are acknowledged also by the MQTT layer.

This recording shows the MQTT protocol (without TCP layer):

```
2007.119301 37.187.106.16 192.168.0.6 MQTT 87 Publish Message 2007.120054 192.168.0.6 37.187.106.16 MQTT 60 Publish Ack
```

In this mode one sees that the MQTT message delivered is acknowledged by the receiving the MQTT level.

The QoS 1 is seen in the header flags. In addition one notices that there is an additional message identification filed that is used to ensure that the acknowledge belongs to the published message; the ack message contains the same identifier value. This level guarantees delivery at least once, but not that it can't be delivered a multiple number of times.

4.3 QoS 2 (Assured Delivery)

The highest level of QoS is 2, whereby messages are acknowledged by the MQTT layer in a way that ensures that they are delievered only a single time..

This recording shows the MQTT protocol (without TCP layer):

2040.739129	192.168.0.5	37.187.106.16	MQTT	87 Publish Message
2040.769623	37.187.106.16	192.168.0.5	MQTT	60 Publish Received
2040.770682	192.168.0.5	37.187.106.16	MQTT	58 Publish Release
2040.798710	37.187.106.16	192.168.0.5	MQTT	60 Publish Complete

In this mode one sees that there is a 4-way handshake involved whereby the broker informs first that it has received the message, after which the client releases it, which the broker confirms with a complete.

The publish message is the same as the QoS 1 message, with the QoS flags in the header to to 2. The further messages are not particularly interesting apart from the fact that they all need to contain the same message identifier value, which ensures that they indeed belong to the correct one. Just the Publish Received message is shown to give an idea of its simplicity:

5. Conclusion

This document has given a brief introduction to MQTT (Message Queuing Telemetry Transport) and explained how to configure the μ Tasker project to efficiently start working with it.

The command line interface allows immediate testing of MQTT operations in combination with a MQTT broker, such as the on-line Mosquitto one which is foreseen for such purposes.

Subscribing and unsubscribing to topics and publishing and receiving topic messages has been demonstrated as well as how Quality of Service levels can be easily controlled.

As well as MQTT operation on the TCP port 1883 the µTasker project enables turn-key secure MQTTS operation on TCP port 8883 using its secure (socket) layer option.

Modifications:

V1.00 12.03.2018: First version